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PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
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10/8/13 154
O33004

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	Raddatz 1
First Inventor	Raddatz
Title	NET CHROMATIC DISPERSION MEASUREMENT AND COMPENSATION METHOD AND SYSTEM FOR OPTICAL NETWORKS
Express Mail Label No.	EV367980869US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification/Cover [Total Pages 11]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Formal Drawing(s) (35 U.S.C.113)[Total Sheets 2]
- Oath or Declaration [Total Pages 4]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Permit to File Abroad

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 26291 or Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name					
Address					
City					
Country					

Name (Print/Type)	Gregory C. Ranieri	Registration No. (Attorney/Agent)	29,695
Signature		Date	3/30/04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004		<i>Complete if Known</i>	
		Application Number	Not Yet Known
		Filing Date	Herewith
		First Named Inventor	Raddatz
<input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		Examiner Name	Not Yet Known
		Group / Art Unit	Not Yet Known
TOTAL AMOUNT OF PAYMENT (\$ 810		Attorney Docket No.	Raddatz 1

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																				
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None Order <input type="checkbox"/> Deposit Account Deposit Account Number 20-0782 Deposit Account Name Moser, Patterson & Sheridan, LLP				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee (\$)</th> <th></th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>2051</td> <td>130</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> </tr> <tr> <td>1052</td> <td>2052</td> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet.</td> </tr> <tr> <td>1053</td> <td>1053</td> <td>130</td> <td>130</td> <td>Non-English specification</td> </tr> <tr> <td>1812</td> <td>1812</td> <td>2,520</td> <td>2,520</td> <td>For filing a request for reexamination</td> </tr> <tr> <td>1804</td> <td>1804</td> <td>920*</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>1805</td> <td>1805</td> <td>1,840*</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>1251</td> <td>2251</td> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>1252</td> <td>2252</td> <td>420</td> <td>210</td> <td>Extension for reply within second month</td> </tr> <tr> <td>1253</td> <td>2253</td> <td>950</td> <td>475</td> <td>Extension for reply within third month</td> </tr> <tr> <td>1254</td> <td>2254</td> <td>1,480</td> <td>740</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>1255</td> <td>2255</td> <td>2,010</td> <td>1,005</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>1401</td> <td>2401</td> <td>330</td> <td>165</td> <td>Notice of Appeal</td> </tr> <tr> <td>1402</td> <td>2402</td> <td>330</td> <td>165</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>1403</td> <td>2403</td> <td>290</td> <td>145</td> <td>Request for oral hearing</td> </tr> <tr> <td>1451</td> <td>2451</td> <td>1,510</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> </tr> <tr> <td>1452</td> <td>2452</td> <td>110</td> <td>55</td> <td>Petition to revive - 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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY					
Name (Print/Type)	Gregory C. Ranieri	Registration No. Attorney/Agent)	29,695	Telephone	(732)530-9404
Signature	<i>Gregory C. Ranieri</i>			Date	MARCH 30, 2004

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Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

24 March 2004

PERMIT TO FILE ABROAD
Section 23(1), Patents Act 1977

LUCENT TECHNOLOGIES NETWORK SYSTEMS UK LIMITED and

LUTZ RADDATZ

is/are hereby authorised to file or cause to be filed outside the United Kingdom an application for a patent in respect of an invention called.

NET CHROMATIC DISPERSION MEASUREMENT AND COMPENSATION

METHOD AND SYSTEM FOR OPTICAL NETWORKS

and described in the documents submitted to this Office.

Emma Turner
pp. M G WILSON
for the Comptroller

DP Per 2/77